

Role of nerve stimulation in sleep

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SPECIAL ARTICLES

Treatment of Adult Obstructive Sleep Apnea with Positive Airway Pressure: An American Academy of Sleep Medicine Clinical Practice Guideline

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Alternative selected therapy

- Behavioral
 - Positioning therapy
 - Weight loss
 - Alcohol cessation
- Oral appliance
- Surgical
 - Bypass upper airway
 - Upper airway procedure
- Adjunctive
 - Oxygen
 - Medication
 - Bariatric surgery

Nerve stimulation

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History and evolution of HGNS concepts

- In 1978, Guillieminault¹ was attempt to improve upper airway patency in humans via transcutaneous submental and intraoral electrical simulation of UA muscle with limited success.
- In 1996, Schwartz et al.² report preliminary successful human studies by intramuscular stimulation of the lingual muscle in 9 patients, the frequency of airway collapse decreased without causing sleep arousal

Guilleminault C, Hill MW, Simmons FB, Dement WC (1978) Obstructive sleep apnea: electromyographic and fiberoptic studies. Exp Neurol 62(1):48 –67
 Schwartz AR, Eisele DW, Hari A, Testerman R, Erickson D, Smith PL (1996) Electrical stimulation of the lingual musculature in obstructive sleep apnea. J Appl Physiol 81(2):643 –652



History and evolution of HGNS concepts

- A pilot study³ in 2001 proposed that unilateral electrical stimulation of the HGN was feasible and potential therapeutic option of OSA
- In 2010, Efficacy of OSA treatment was shown in 7 of 8 HGNS implanted patients (Inspire Medical systems, Maple Grove MN). For 6-month continuation of the study, the results were consistent. They were not successful in the long term due to technical defects that led to device dysfunction⁴

3. Schwartz AR, Bennett ML, Smith PL, De Backer W, Hedner J, Boudewyns A et al (2001) Therapeutic electrical stimulation of the hypoglossal nerve in obstructive sleep apnea. Arch Otolaryngol Head Neck Surg 127(10):1216 –1223

4. Kezirian EJ, Boudewyns A, Eisele DW, Schwartz AR, Smith PL, Van de Heyning PH et al (2010) Electrical stimulation of the hypoglossal nerve in the treatment of obstructive sleep apnea. Sleep Med Rev 14(5):299 –305



Tongue anatomy and physiology





Table 1: Tongue	Musculature a	and Nerve	Supply
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Muscle	Innervation	Function
Genioglossus	Lingual branch of hypoglossal	Posterior fibers: Push tongue
	nerve	root forward and protrude
		tongue tip
		Anterior fibers: Depress and
		retract tongue
Hyoglossus	Lingual branch of hypoglossal	Retract tongue and Depress
	nerve	tongue sides
Styloglossus	Lingual branch of hypoglossal	Draws tongue upward
	nerve and vagus nerve	
Intrinsic Muscles	Lingual branch of hypoglossal	Alters tongue shape; Can
	nerve	cause tongue to shorten,
		narrow, and curve
Palatoglossus	Vagus nerve	Elevates the back of the
		tongue







The anatomy and rationale for cuff placement. Left image shows the hypoglossal nerve and its branches to the retractors (styloglossus and hyoglossus) or protrudors (genioglossus and geniohyoid) muscles. Right image shows the two cuff placement sites, where the proximal site results in both group activations while the distal site selectively activates protrudors. Colors denote this difference (proximal results in all four arrows, distal only green arrows)

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HGNS devices and device concepts

- Apnex (St. Paul, MN, USA)
 - Unilateral inspiratory stimulation to the medial branch of the hypoglossal nerve
 - Double impedance sensor positioned over the lower rib of both hemithoraces.
- Inspire (Maple Grove, MN, USA)
 - Unilateral inspiratory stimulation to the medial branch of the hypoglossal nerve
 - Effort sensor placed between the intercostal muscle
- Aura6000 (ImThera Medical, San Diego, CA, USA)
 - No chest sensing
 - Main trunk and targeted all muscles in the hemi-lateral tongue
- Genio[™] system (Nyxoah SA, Mont-Saint-Guibert, Belgium)
 - Bilateral stimulation
 - No any leads



TREATING OSA WITH HYPOGLOSSAL NERVE STIMULATION

http://dx.doi.org/10.5665/sleep.1380

Treating Obstructive Sleep Apnea with Hypoglossal Nerve Stimulation

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- 21 patients implanted
- AHI between 20 and 100 event/hour (at least 80% hypopnea), BMI < 40 kg/m², age between 21 and 70 years
- 6 month follow up yielded successful result with more than 50% reduction in AHI







Figure 4—Apnea-hypopnea index (AHI) scores at Baseline and at 3 and 6 months following implant. (A) Boxplots of group data showing the median values indicated by the thick horizontal lines, and the 25th and 75th percentiles indicated by the upper and lower margins of the box, respectively at Baseline (n = 21), 3 months (n = 17), and 6 months (n = 19) post-implant. Error bars represent 5th and 95th percentiles. Extreme values are indicated by closed circles, *P < 0.001. (B) Line graph showing individual data (n = 21). A missing data point in any given subject was derived using the last value carried forward method and is shown as an open circle.

SLEEP, Vol. 34, No. 11, 2011



Box and whisker plot of the apnea-hypopnea index at baseline and at 3, 6, and 12 months post implantation, shown separately for BMI \leq 35 kg/m2 and BMI > 35 kg/m2. The median values are noted by horizontal white lines, and the boxes represent the intraquartile range. The whiskers represent the 1.5x the intraquartile range (or the minimum or maximum value if < 1.5x the intraquartile range). The Xs represent outlier values.



Implanted Upper Airway Stimulation Device for Obstructive Sleep Apnea

Paul H. Van de Heyning, MD, PhD; M. Safwan Badr, MD; Jonathan Z. Baskin, MD;
Michel A. Cramer Bornemann, MD; Wilfried A. De Backer, MD, PhD; Yaniv Dotan, MD;
Winfried Hohenhorst, MD; Lennart Knaack, MD; Ho-Sheng Lin, MD; Joachim T. Maurer, MD, PhD;
Aviram Netzer, MD; Rick M. Odland, MD; Arie Oliven, MD; Kingman P. Strohl, MD;
Olivier M. Vanderveken, MD, PhD; Johan Verbraecken, MD, PhD; B. Tucker Woodson, MD

- The result from 6-month clinical trial with the Inspire II device
- First part with wide inclusion
 - 20 patients implanted
 - AHI >25 event/hour, BMI < 35 kg/m²
 - 14 patients showed the predicted outcome
 - 6 patients showed greater than 50% reduced AHI





Apnea hypopnea index (AHI) response of part 1 subjects is shown. AHI was reduced significantly in the responder group at 2-, 4-, and 6-month postimplant visits (marked by asterisks) compared with the baseline. The responder group had a significantly lower AHI and body mass index (BMI) than the nonresponder group



Implanted Upper Airway Stimulation Device for Obstructive Sleep Apnea

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- Second part with narrowed the criteria base on the finding from part one and included drug-induced sleep endoscopy (DISE) as a diagnosis modality
 - AHI between 20 and 50 event/hour
 - BMI < 32 kg/m²
 - Absence of complete concentric collapse (CCC) of the velum as seen during DISE

Sleep Endoscopy Palate (Velum)

Concentric collapse

Normal airway









Summary of part 2 subjects' apnea hypopnea index (AHI) at baseline and 6 months postimplant is shown

Targeted hypoglossal neurostimulation for obstructive sleep apnoea: a 1-year pilot study

Gimbada B. Mwenge^{*,#}, Philippe Rombaux^{#,¶}, Myriam Dury[#], Benoît Lengelé⁺ and Daniel Rodenstein^{*,#}

• The result from 1-year clinical trial with Aura6000

in 13 implanted patient

- Inclusion criteria
 - BMI between 25 and 40 kg/m²
 - $AHI \ge 20 event/hour$
 - Mallampati score 1-3
 - Palatine tonsils grade 0-2
- Primary outcome : mean change in AHI in PSG performed 3 and 12 months relative to presurgical baseline











Mean AHI decrease from 45.2 to 21 events/hour



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The NEW ENGLAND JOURNAL of MEDICINE

ORIGINAL ARTICLE

Upper-Airway Stimulation for Obstructive Sleep Apnea

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M. Boyd Gillespie, M.D., B. Tucker Woodson, M.D., Paul H. Van de Heyning, M.D., Ph.D., Mark G. Goetting, M.D., Olivier M. Vanderveken, M.D., Ph.D., Neil Feldman, M.D., Lennart Knaack, M.D., and Kingman P. Strohl, M.D., for the STAR Trial Group*



Inclusion criteria

- Adult with moderate to severe OSA if they had difficulty accepting or adhering to CPAP treatment
- BMI < 32 kg/m²
- Exclusion criteria
 - AHI < 20 or > 50
 - Central /mixed apnea $\geq 25\%$
 - Non-supine AHI < 10
 - Complete concentric collapse at retropalatal airway by endoscopy during drug induces sleep
 - No neuromuscular disease, hypoglossal nerve palsy, severe restrictive or obstructive pulmonary disease, moderate to severe pulmonary hypertension, sever valvular heart disease, NYH class III or IV heart failure, recent MI or severe cardiac arrythmia (within past 6 month), persistent uncontrolled hypertension, active psychiatric disease



- All case was done in lab PSG and endoscopy during drug induced sleep
- Eligible patient was implant INSPIRE®
 - Excluded patient with tonsil size of 3 or 4
- 1 month after surgery , in lab PSG for secondary baseline and immediately activation of the device
- Follow up at month 2,3,6 and 12





- Primary outcome : change for severity of AHI and ODI
- Secondary outcome : self reported sleepiness and disease-specific quality of life as assessed with the use of the Epworth Sleepiness Scale, Functional Outcomes of Sleep Questionnaire and the percentage of sleep time with the oxygen saturation less than 90%.



• A responder

- AHI reduction of at least 50% from baseline and AHI score on the 12 months PSG less than 20
- ODI reduction of at least 25% from baseline
- Participants who did not complete the 12 months visit were considered not to have a response.
- randomized controlled therapy withdrawal trial, AHI was compared between the therapymaintenance group and therapy-withdrawal group









Table 2. Primary and Secondary Outcome Measures.* Baseline 12 Months Change P Value Outcome **Primary outcomes** AHI score† 32.0±11.8 15.3 ± 16.1 -16.4 ± 16.7 < 0.001 Median 29.3 9.0 -17.323.7 to 38.6 4.2 to 22.5 -26.4 to -9.3 Interquartile range < 0.001 ODI score: 28.9±12.0 13.9 ± 15.7 -14.6 ± 15.8 Median 25.4 7.4 -15.7 Interquartile range 19.5 to 36.6 -24.0 to -8.6 3.5 to 20.5 Secondary outcomes FOSQ score§ 14.3 ± 3.2 2.9 ± 3.1 < 0.001 17.3 ± 2.9 Median 14.6 18.2 2.4 12.1 to 17.1 16.2 to 19.5 0.7 to 4.7 Interquartile range Epworth Sleepiness Scale score < 0.001 11.6 ± 5.0 7.0 + 4.2-4.7+5.0Median 11.0 6.0 -4.0 Interquartile range 8.0 to 15.0 4.0 to 10.0 -8.0 to -1.0 Percentage of sleep time with oxygen 8.7±10.2 5.9 ± 12.4 -2.5 ± 11.1 0.01 saturation <90% Median 5.4 0.9 -2.2 Interquartile range 2.1 to 10.9 0.2 to 5.2 -6.6 to -0.3



The U.S. Food and Drug Administration (FDA) approved Inspire® Medical Systems' pacemaker-like hypoglossal nerve stimulator for patients with **moderate to severe obstructive sleep apnea** (OSA) who cannot tolerate continuous positive airway pressure (CPAP).



Upper Airway Stimulation for Obstructive Sleep Apnea: 5-Year Outcomes

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AMERICAN ACADEMY OF OTOLARYNGOLOGY-HEAD AND NECK SURGERY OUNDATION

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(S)SAGE









Sixty-month outcome of AHI, sleep quality of life (FOSQ), and daytime sleepiness (ESS). Values are presented as mean 6 SD. Response rates (in percentages) are based on the following parameters: AHI .50% reduction to >20 events/hour, ESS score <10, and FOSQ score .17.9. AHI, apnea hypopnea index; ESS, Epworth Sleepiness Scale; FOSQ, Functional Outcomes of Sleep Questionnaire.



Partner Report Snoring





	Month 60, Me	ean \pm SD or % (n)			
Characteristic	Responders (n = 53)	Nonresponders (n = 18)	Odds Ratio	95% Confidence Limits (P Value)	
Age	56.0 ± 9.3	50.1 ± 10.4	I.07	1.01, 1.13 (.03)	
Male	81 (43)	83 (15)	0.86	0.21, 3.55 (.83)	
BMI	28.6 ± 2.5	$\textbf{28.8} \pm \textbf{2.3}$	0.97	0.77, 1.21 (.76)	
Neck size	40.8 ± 3.5	41.5 ± 2.9	0.93	0.79, 1.11 (.43)	
AHI	29.3 ± 7.6	<u>33.7 ± 13.1</u>	0.95	0.90, 1.01 (.09)	
ODI	25.5 ± 8.5	32.2 ± 12.4	0.94	0.88, 0.99 (.02)	
Prior UPPP	32 (17)	6 (I)	0.13	0.02, 1.02 (.052)	
FOSQ	14.8 ± 2.7	15.0 \pm 2.3	0.96	0.78, 1.19 (.73)	
ESS	II.3 ± 4.9	12.7 ± 5.3	0.95	0.85, 1.06 (.32)	

Table 4. Predictors of 60-Month AHI Responders.



Table 5. Nonserious Adverse Events.

	No. of Events							
Adverse Event	0-12 mo	12-24 mo	24-36 mo	36-48 mo	>48 mo	Total	Participants With Event, % (n of 126)	
		Procedure I	related					
Postoperative discomfort related to incisions	47	Ι	2	Ι	I	52	30.2 (38)	
Postoperative discomfort independent of incisions	41	0	I	0	0	42	27.0 (34)	
Temporary tongue weakness	34	0	0	0	0	34	18.3 (23)	
Intubation effects	8	0	0	0	0	18	11.9 (15)	
Headache	8	0	0	0	0	8	6.3 (8)	
Other postoperative symptoms	22	0	0	0	0	22	. (4)	
Mild infection	I	0	0	0	0	1	0.8 (1)	
		Device re	lated					
Discomfort due to electrical stimulation	81	23	26	7	5	142	60.3 (76)	
Tongue abrasion	28	12	4	3	2	49	27.0 (34)	
Dry mouth	10	5	2	0	3	20	15.1 (19)	
Mechanical pain associated with presence of the device	7	2	3	Ι	I	14	. (4)	
Temporary internal device usability or functionality complaint	12	8	I	3	I	25	16.7 (21)	
Temporary external device usability or functionality complaint	П	П	8	9	6	45	26.2 (33)	
Other acute symptoms	21	14	I.	2	I.	39	24.6 (31)	
Mild infection	I	0	0	0	0	I	0.8 (1)	





Fig. 1 Cuff electrodes encircling the medial branch of hypoglossal nerve with three stimulation nodes. Note the lateral branch is not included in the cuff. (*n* nerve, *m* muscle, *g* gland)



Fig. 2 Pleural pressure sensing lead is placed with the ventilatory sensor facing the pleura



Fig. 3 Implantable pulse generator (IPG) has two 3.2 mm low profile connector ports (STIM port, SENSE port) which house the stimulation and pleural pressure sensing lead connectors. The lead connectors are secured with set screws using a driver

Bilateral hypoglossal nerve stimulation for treatment of adult obstructive sleep apnoea

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Bilateral hypoglossal nerve stimulation for treatment of adult obstructive sleep apnoea

- Prospective, open-label, non-randomized, singlearm treatment, ENT department
- 8 centers in three countries (Australia, France and UK)
- Primary outcome
 - Device-related serious adverse events
 - Change in the AHI
- Secondary outcome
 - Change in ODI



Bilateral hypoglossal nerve stimulation for treatment of adult obstructive sleep apnoea

- Inclusion criteria
 - Age 21-75 years
 - BMI \leq 32 kg/m²
 - AHI 20-60 events/hr (central/mixed AHI < 10)
 - No positional OSA (non-supine AHI<10, supine AHI≥ non supine AHIx2)
 - Absence of soft palate complete concentric collapse (CCC) during drug induced sleep endoscopy
 - Not tolerated or accepted CPAP



- 22 out of 27 implanted participants (63% male, aged 55.9±12.0 years, body mass index (BMI) 27.4 ±3.0 kg·m-2) completed the protocol.
- At 6 months BMI was unchanged (p=0.85); AHI decreased from 23.7 ±12.2 to 12.9±10.1 events·h-1, a mean change of 10.8 events·h-1 (p5 days per week, and 77% reported use for >5 h per night.
- No device-related serious adverse events occurred during the 6-month post-implantation period

สถาบันประสาทวิทยา

Bilateral hypoglossal nerve stimulation ⁽ for treatment of adult obstructive sleep apnoea

TABLE 2 Outcome measures for modified intention-to-treat analyses

	Baseline	6 months	Mean difference (95% CI)	p-value
Subjects n	22	22		
Sleep disordered breathing				
AHI events∙h ⁻¹	23.7±12.2	12.9±10.1	10.8 (14.6–7.0)	<0.0001
ODI events∙h ^{−1}	19.1±11.2	9.8±6.9	9.3 (13.1–5.5)	<0.0001
S _{a02} <90% % time	5.0 ± 6.0	2.1±3.0	2.9 (4.6–1.3)	0.0015
Aprı́oea index events∙h ⁻¹	10.1±10.2	5.6±8.4	4.8 (9.2–0.4)	0.0334
Hypopnoea index events h ⁻¹	12.5±8.9	7.6±6.2	4.9 (8.1–1.7)	0.0049
Symptoms				
ESS	11.0±5.3 [#]	8.0±5.4	3.0 (5.7–0.8)	0.0113
FOSQ-10	15.3±3.3	17.2±3.0	1.9 (0.4–3.4)	0.0157
Sleep architecture				
Sleep efficiency %	84.0±10.8	87.3±8.9	3.2 (0.01-6.4)	0.0494
NREM stage 1 %	13.1±7.9	8.2±4.0	5.0 (8.3–1.7)	0.0053
NREM stage 2 %	60.9±8.7	67.6±9.5	6.7 (2.2–11.3)	0.0058
NREM stage 3 %	8.2±6.9	3.5±4.3	4.7 (6.6–2.7)	<0.001
REM %	17.8±6.4	20.7±7.3	2.9 (-0.3-6.2)	0.0782
Arousal index events·h ⁻¹	28.7±11.5	16.0±8.0	12.7 (16.6–8.9)	<0.0001

Data are presented as mean±sD or mean (95% CI), unless otherwise stated. AHI: apnoea-hypopnoea index; ODI: 4% oxygen desaturation index; S_{aO_2} <90%: proportion of the night spent at an oxygen saturation <90%; ESS: Epworth Sleepiness Scale; FOSQ-10: 10-item Functional Outcomes of Sleep Questionnaire; NREM: non-rapid eye movement; REM: rapid eye movement. [#]: n=21.

สถาบันประสาทวิทยา

Bilateral hypoglossal nerve stimulation for treatment of adult obstructive sleep apnoea



Change in apnoea– hypopnoea index (AHI) for each participant from baseline to 6 months post-implantation. Each line represents an individual participant using modified intentionto-treat analyses (n=22).

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months Eur Respir J 2020; 55: 1901320



Take home messages

- The Inspire HGNS device remains the only FDAapproved neurostimulation therapy for OSA
- CPAP-non-responders with a BMI <32 kg/m², and AHI < 50 and favorable pattern of upper airway collapse on DISE
- HGNS therapy is **not** currently considered a firstline treatment option.